

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Amtmann et al.
 Patent No.: 6,881,751 B2
 Issued: April 19, 2005
 Entitled: Medicament Containing Platinum
 Complex Compounds and the Use
 Thereof
 Serial No.: 09/784,618
 Filed: February 15, 2001

Examiner: Cook, Rebecca
 Group Art Unit: 1614
 Conf. No.: 2652

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a

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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

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P.O. Box 1450
Alexandria, VA 22313-1450

Certificate
OCT 20 2005
of Correction

TRANSMITTAL LETTER

Enclosed for filing the above-identified patent application, please find the following documents:

1. Request for Certificate of Correction;
2. Notice of Recordation listing Gerard Schilling as the Assignor and Ruprecht-Karls-Universität Heidelberg as the Assignee dated November 29, 2002;
3. Notice of Recordation Eberhard Amtmann as the Assignor and Deutsches Krebsforschungszentrum Stiftung Des Öffentlichen Rechts as the Assignee dated November 29, 2002;
4. Two (2) replacement sheets of drawings of FIG. 2 and FIG. 4;
5. Copy of Amendment Under Rule 1. 312 filed February 1, 2005; and
6. Return Post Card.

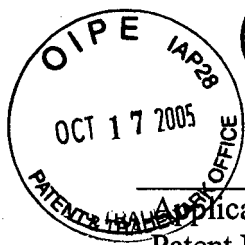
The Commissioner for Patents is hereby authorized to charge any fees to Deposit Account No. 16-0085, Reference 8654/2002. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Date: October 13, 2005

Name: Kathleen M. Williams
 Registration No.: 34,380
 Customer No.: 29933
 Palmer & Dodge LLP
 111 Huntington Avenue
 Boston, MA 02199-7613
 Tel: 617-239-0100

OCT 24 2005



COPY

Atty. Docket No.: 8654/2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Amtmann et al.
Patent No.: 6,881,751 B2
Issued: April 19, 2005
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4. Two (2) replacement sheets of drawings of FIG. 2 and FIG. 4;
5. Copy of Amendment Under Rule 1. 312 filed February 1, 2005; and
6. Return Post Card.

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Date: October 13, 2005

Name: Kathleen M. Williams
Registration No.: 54,380
Customer No.: 29933
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Atty. Docket No.: 8654/2002

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Applicant of: Amtmann et al.

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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Mail Stop Certificate of Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION

Sir:

Applicants request issuance of a Certificate of Correction for U.S. Patent No. 6,881,751. Applicants have identified an error in the listed Assignee's name, several typographical errors in the text and errors in FIG. 2 and FIG. 4 that all require correction.

Corrections to the Assignee begin on page 2 of this paper.

Corrections to the Text begin on page 3 of this paper.

Corrections to the Drawings begin on page 4 of this paper and include two (2) substitute drawings of FIG. 2 and FIG. 4 filed herewith.

Remarks begin on page 5 of this paper.

OCT 24 2005

Corrections to the (73) Assignee:

The Assignee on the face of the patent requires correction. The issued patent lists:
“(73) Assignee: Ruprecht Karls Universitat (DE)”. The issued patent should list two Assignees as follows:

“(73) Assignee: Ruprecht-Karls-Universität Heidelberg (DE)
Deutsches Krebsforschungszentrum Stiftung Des Öffentlichen Rechts (DE)”

Attached are two Notices of Recordation, both dated November 29, 2002, showing both assignees. The first Notice of Recordation lists Gerard Schilling as the Assignor and Ruprecht-Karls-Universität Heidelberg as the Assignee and was recorded at Reel 013270, Frame 0327. The second Notice of Recordation lists Eberhard Amtmann as the Assignor and Deutsches Krebsforschungszentrum Stiftung Des Öffentlichen Rechts as the Assignee and was recorded at Reel 013270, Frame 0340. Both Assignees should be listed on the face of the issued patent. Please correct the issued patent to include both Assignees.

Corrections to the Text:

Please replace the text at column 2, from line 25 through line 26, with the following text:

-- pyrimidinyl, pyrazinyl, thiazolyl, oxazolyl, furazannyl, pyrrolinyl, imidazoliny, pyrazolinyl, thiazolinyl, ~~triazoly~~, triazolyl, --

Please replace the text at column 7, line 40, with the following text:

-- Treatment	Platinum content total cells content (ng/10 ⁷ cells)	Platinum content DNA (ng/mg) --
--------------	---	------------------------------------

Please replace the text at column 8, from line 7 to line 8, with the following text:

-- Cell	Cell	Cisplatin	cisplatin	thioplantin	thioplantin
line	type	(M) (<u>μM</u>)	(M) (<u>μM</u>)	(M) (<u>μM</u>)	(M) (<u>μM</u>) --

Please replace the text at column 8, line 35 with the following text:

-- than with 7.4. In the case of cisplatin IC₅₀[,] was poorer with --

Please replace the text at column 8, line 43 with the following text:

-- 12 days when the tumors had reached a diameter of ~~B~~ 8 to 10 --

Corrections to the Drawings:

The attached two (2) sheets of drawings include changes to FIG. 2 and FIG. 4 as follows:

1. change FIG. 2 so the reference "10" at the bottom unit on the vertical axis reads "0".
2. change FIG. 4 so the reference "000" at the top of the vertical axis in FIG. 4A reads "1000". See attached Amendment Under Rule 1.312 filed February 1, 2005 which made this change to FIG. 4.

Attachment: Two (2) replacement sheets.

OCT 24 2005

REMARKS

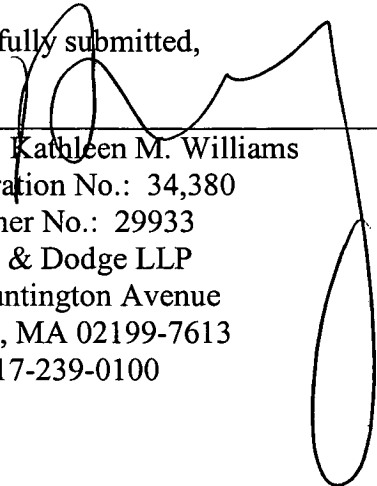
Applicants respectfully request a correction of U.S. Patent No. 6,881,751 in your proper manner and issuance of a Certificate of Correction.

Applicants believe that no fee is due with this filing; however, please charge any necessary fees required in connection with the paper transmitted herewith to Deposit Account No. 16-0085, Reference No.: 8654/2002.

Please contact the undersigned Attorney of record with any questions.

Date: October 13, 2005

Respectfully submitted,

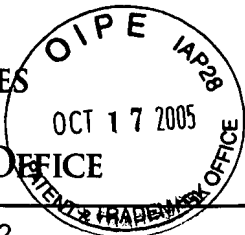


Name: Kathleen M. Williams
Registration No.: 34,380
Customer No.: 29933
Palmer & Dodge LLP
111 Huntington Avenue
Boston, MA 02199-7613
Tel: 617-239-0100

OCT 24 2005



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NOVEMBER 29, 2002

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PALMER & DODGE LLP
DAVID J. DYKEMAN
111 HUNTINGTON AVENUE
BOSTON, MA 02199



102220511A

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/09/2002

REEL/FRAME: 013270/0327
NUMBER OF PAGES: 8

BRIEF: CORRECTED ASSIGNMENT RECORDATION COVER SHEET TO REMOVE INADVERTENTLY LISTED EBERHARD AMTMANN FROM ASSIGNMENT RECORDED AT REEL 012888, FRAME 0564.

ASSIGNOR:

SCHILLING, GERHARD ✓

DOC DATE: 03/07/2002 ✓

ASSIGNEE:

RUPRECHT-KARLS-UNIVERSITAT ✓
HEIDELBERG ✓
IM NEUENHEIMER FELD 305 ✓
HEIDELBERG, FED REP GERMANY D-69120 ✓

SERIAL NUMBER: 09784618 ✓
PATENT NUMBER:

FILING DATE: 02/15/2001 ✓
ISSUE DATE:

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
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PATENT DEPT.
PALMER & DODGE LLP

8/29/2002

09-13-2002



To the Honorable Commissioner of Patent

102220511

Final documents or copy thereof.

1. Name and address of conveying party(ies):

Name: Gerhard SchillingStreet Address: Dornhofgasse 1City: LadenbergCountry: GermanyZIP: D-68526Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Corrected Assignment Recordation Cover
Sheet to remove inadvertently listed
Eberhard Amtmann from Assignment
recorded at Reel 012888, Frame 0564.

Execution Date: March 7, 2002

2. Name and address of receiving party(ies):

Name: Ruprecht-Karls-Universität Heidelberg

Internal Address: _____

Internal Address: _____

Street Address: Im Neuenheimer Feld 305City: HeidelbergCountry GermanyZIP: D-69120Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers:

A. Patent Application No.(s) 09/784,618

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David J. DykemanInternal Address: Palmer & Dodge LLPStreet Address: 111 Huntington AvenueCity: Boston State: MA ZIP: 021996. Total number of application and patents involved: 17. Total fee (37 CFR 3.41)..... \$ 40.00☒ Enclosed☒ Authorized to charge deficiencies to deposit account8. Deposit account number: 16-0085 Ref. 8654/2002

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David J. Dykeman

Name of Person Signing

Signature

September 3, 2002

Date

09/12/2002 DBYRNE 00000072 09784618

01 FC:581

Total number of pages comprising cover sheet
attachment and document: 9

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Washington, D.C. 20231

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NOVEMBER 29, 2002

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111 HUNTINGTON AVENUE
BOSTON, MA 02199



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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/03/2002

REEL/FRAME: 013270/0340
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

AMTMANN, EBERHARD ✓

DOC DATE: 03/07/2002

ASSIGNEE:

DEUTSCHES KREBSFORSCHUNGSZENTRUM
STIFTUNG DES OFFENTLICHEN RECHTS
IM NEUENHEIMER FELD 280
HEIDELBERG, FED REP GERMANY D-69120

SERIAL NUMBER: 09784618 ✓
PATENT NUMBER:

FILING DATE: 02/15/2001 ✓
ISSUE DATE:

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
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PATENT DEPT.
PALMER & DODGE LLP

2654/2002

09-13-2002

SHEET

U.S. Department of Commerce

To the Honorable Commissioner

102220510

Attached original documents or copy thereof.

1. Name and address of conveying party(ies):

Name: Eberhard Amtmann

Street Address: Mozartstrasse 29

City: Heidelberg

Country: Germany ZIP: D-69121

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: March 7, 2002

2. Name and address of receiving party(ies):

Name: Deutsches Krebsforschungszentrum Stiftung Des
Öffentlichen Rechts

Internal Address:

Internal Address:

Street Address: Im Neuenheimer Feld 280

City: Heidelberg

Country: Germany ZIP: D-69120

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers:

A. Patent Application No.(s) 09/784,618

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David J. Dykeman

Internal Address: Palmer & Dodge LLP

Street Address: 111 Huntington Avenue

City: Boston State: MA ZIP: 02199

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed☒ Authorized to charge deficiencies to deposit account

8. Deposit account number: 16-0085 Ref. 8654/2002

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David J. Dykeman

Name of Person Signing

Signature

September 3, 2002

Date

Total number of pages comprising cover sheet
attachment and document: 3

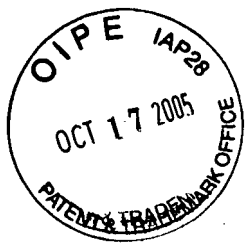
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2/5

SW 707

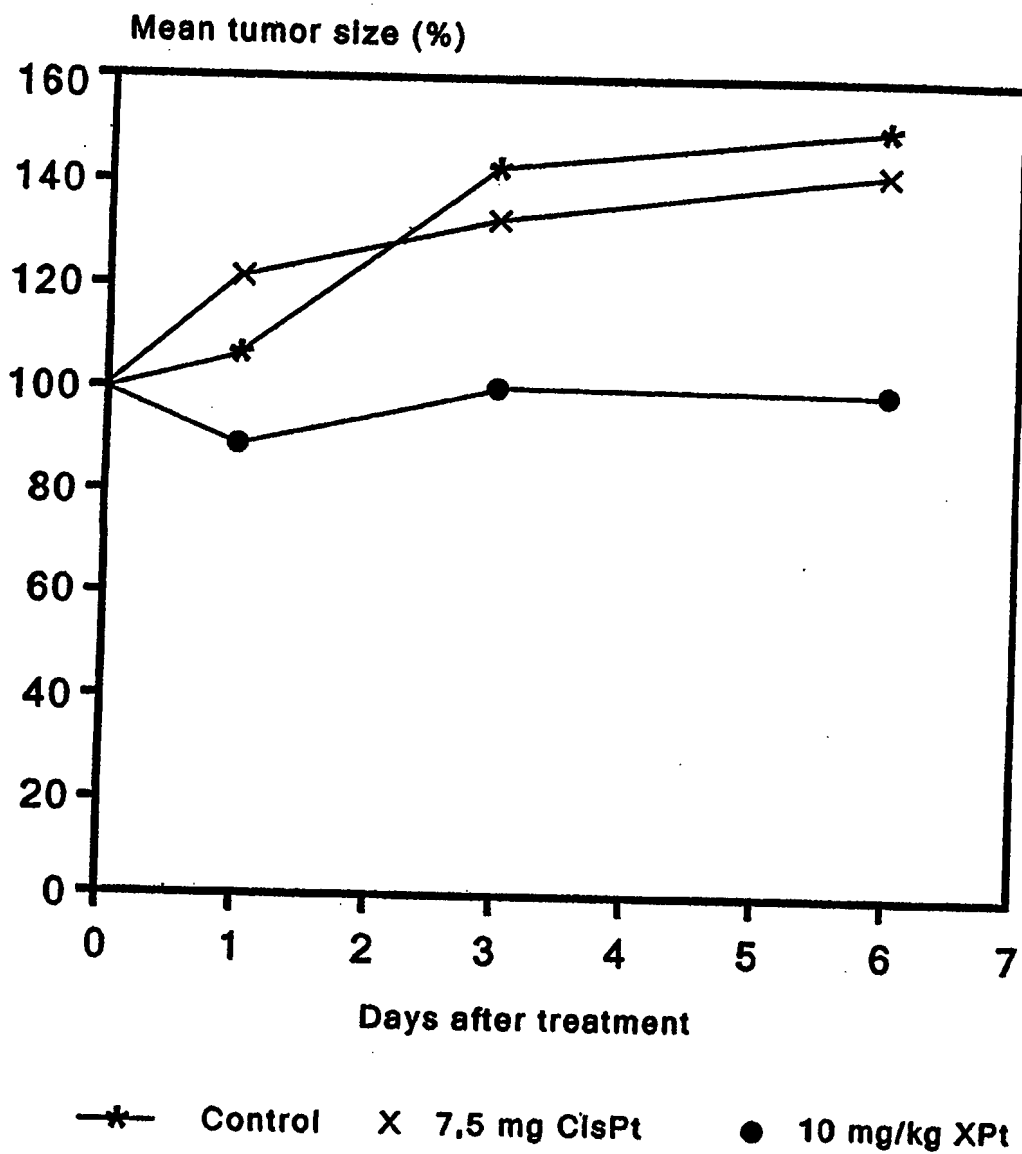


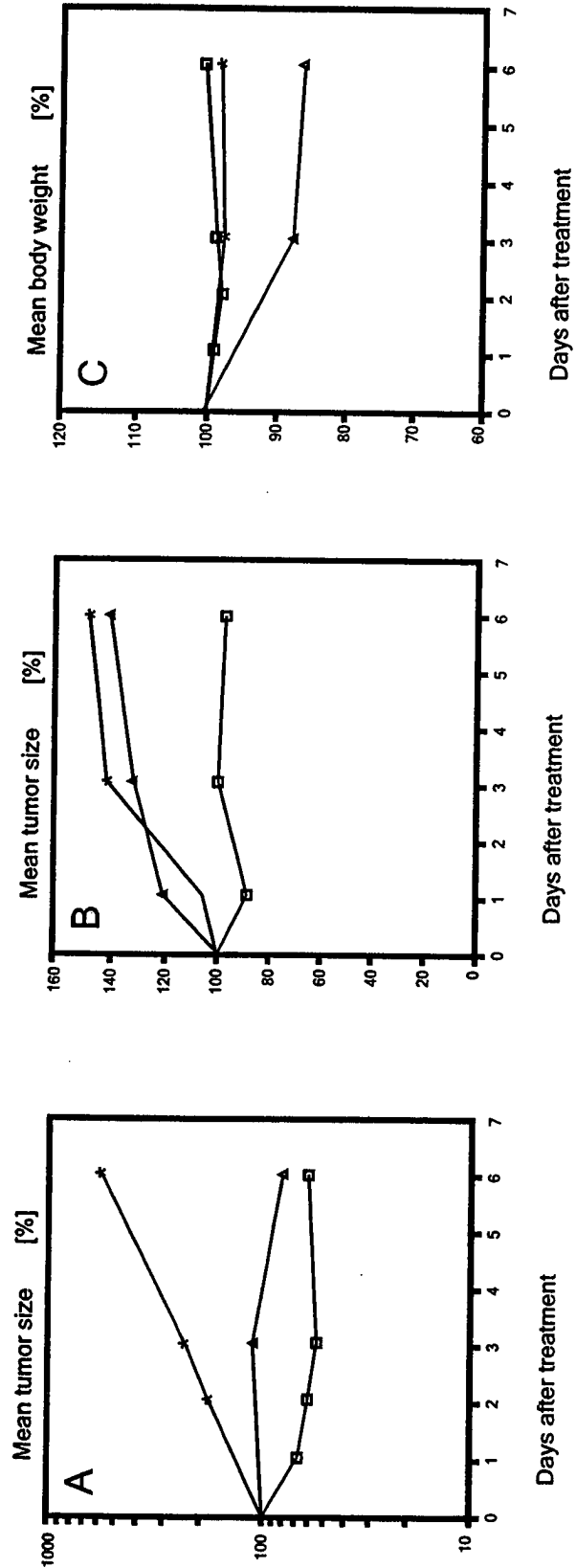
Fig. 2

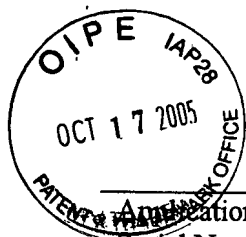


REPLACEMENT SHEET

4/5

Fig. 4





Atty. Docket No.: 8654/2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Eberhard Amtmann et al.
Serial No.: 09/784,618
Filed: February 15, 2001
Titled: "Medicament Containing Platinum
Complex Compounds and the Use Thereof"

Examiner: Cook, Rebecca
Group Art Unit: 1614
Notice of Allowance Mailed 9/22/04
Conf. No.: 2652

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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Enclosed for filing the above-identified patent application, please find the following documents:

1. Amendment Under Rule 1.312;
2. a copy of New Figure 4;
3. a marked up copy of Figure 4;
4. Transmittal of Formal Drawings;
5. Complete Set of Formal Drawings 1-5 (5 sheets); and
6. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any fees to Deposit Account No. 16-0085, Reference 8654/2002. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Date:

February 1, 2005

Name: Kathleen M. Williams

Registration No.: 34,380

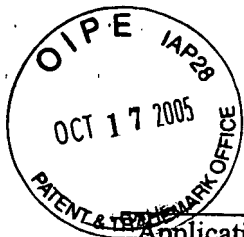
Customer No.: 29933

Palmer & Dodge LLP

111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100



Atty. Docket No.: 8654/2002

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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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3. a marked up copy of Figure 4;
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Date:

February 1, 2005

Name: Kathleen M. Williams

Registration No.: 34,380

Customer No.: 29933

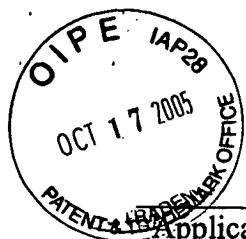
Palmer & Dodge LLP

111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100

COPY



Atty. Docket No.: 8654/2002

PATENT

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Serial No.: 09/784,618
Filed: February 15, 2001
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Examiner: Cook, Rebecca

Group Art Unit: 1614
Notice of Allowance Mailed
9/22/04

Conf. No.: 2652

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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT UNDER RULE 1.312

Sir:

This Amendment is being filed after receipt of the notice of allowance to correct an obvious typographical error in Figure 4 in the formal drawings filed in the above application on December 10, 2004, which was not present in Figure 4 of the originally filed informal figures, as indicated by the publication of this application, see US20020004526.

The typographical error in Figure 4 in the formal drawings only is the incorrect label of "000" on the Y axis instead of the correct label of "1000" on the Y axis as present in the originally filed informal drawings, as indicated by the publication of this application, see US20020004526. Thus no new matter is added.

A corrected Formal copy of Figure 4 is attached, as well as a marked up copy of the previously presented formal Figure 4, as well as a complete set of the formal drawings.

Please enter the attached corrected Figure 4.

A marked up copy of Figure 4 is attached.

Applicants respectfully request entry of the amendment and remarks.

Respectfully submitted,

Date:

February 1, 2005


Name: Kathleen M. Williams

Registration No.: 34,380

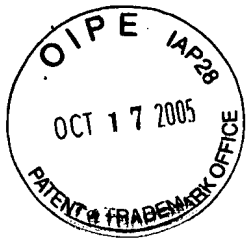
Customer No.: 29933

Palmer & Dodge LLP

111 Huntington Avenue

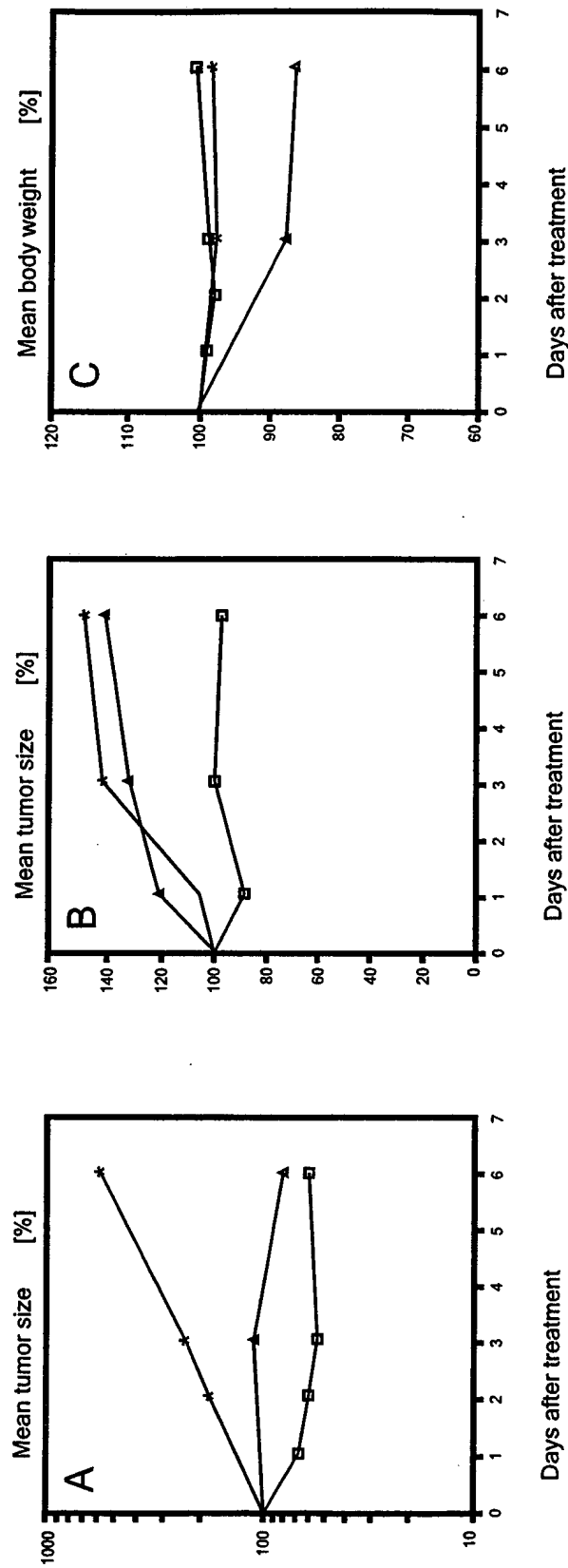
Boston, MA 02199-7613

Tel: 617-239-0100



4/5

Fig. 4





4/5

Fig. 4

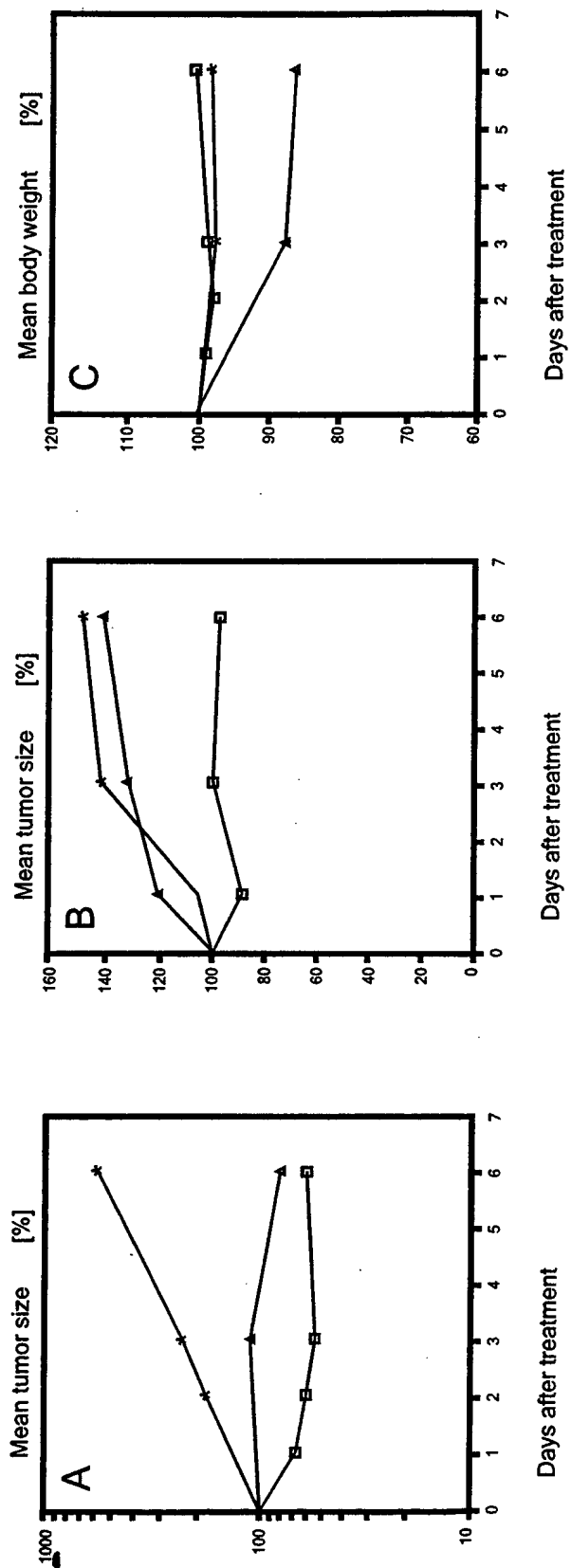
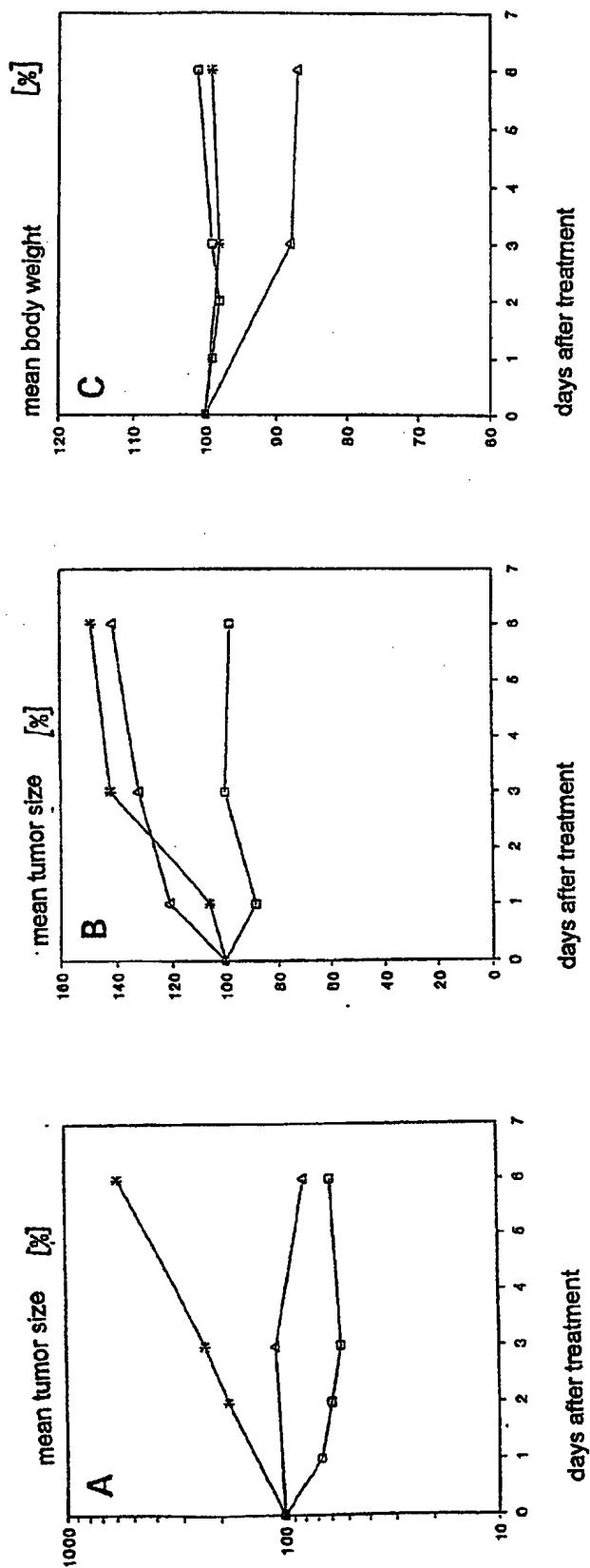
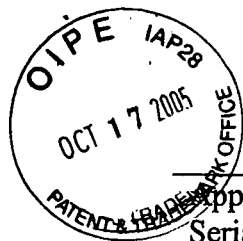




Figure 4





Atty. Docket No.: 8654/2004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Eberhard Amtmann
Serial No.: 09/784,618
Filed: February 15, 2001
Entitled: Medicament Containing Platinum
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Examiner: Rebecca Cook

Group Art Unit: 1614

Conf. No.: 2652

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TRANSMITTAL OF FORMAL DRAWINGS

In view of the attached corrected Figure 4, in the above-referenced patent application, enclosed please find formal drawings of Figures 1 through 5 on 5 separate sheets.

Acceptance of the formal drawings is respectfully requested.

Respectfully submitted,

Date:

February 1, 2005

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1/5

SCLC

XPt Treatment

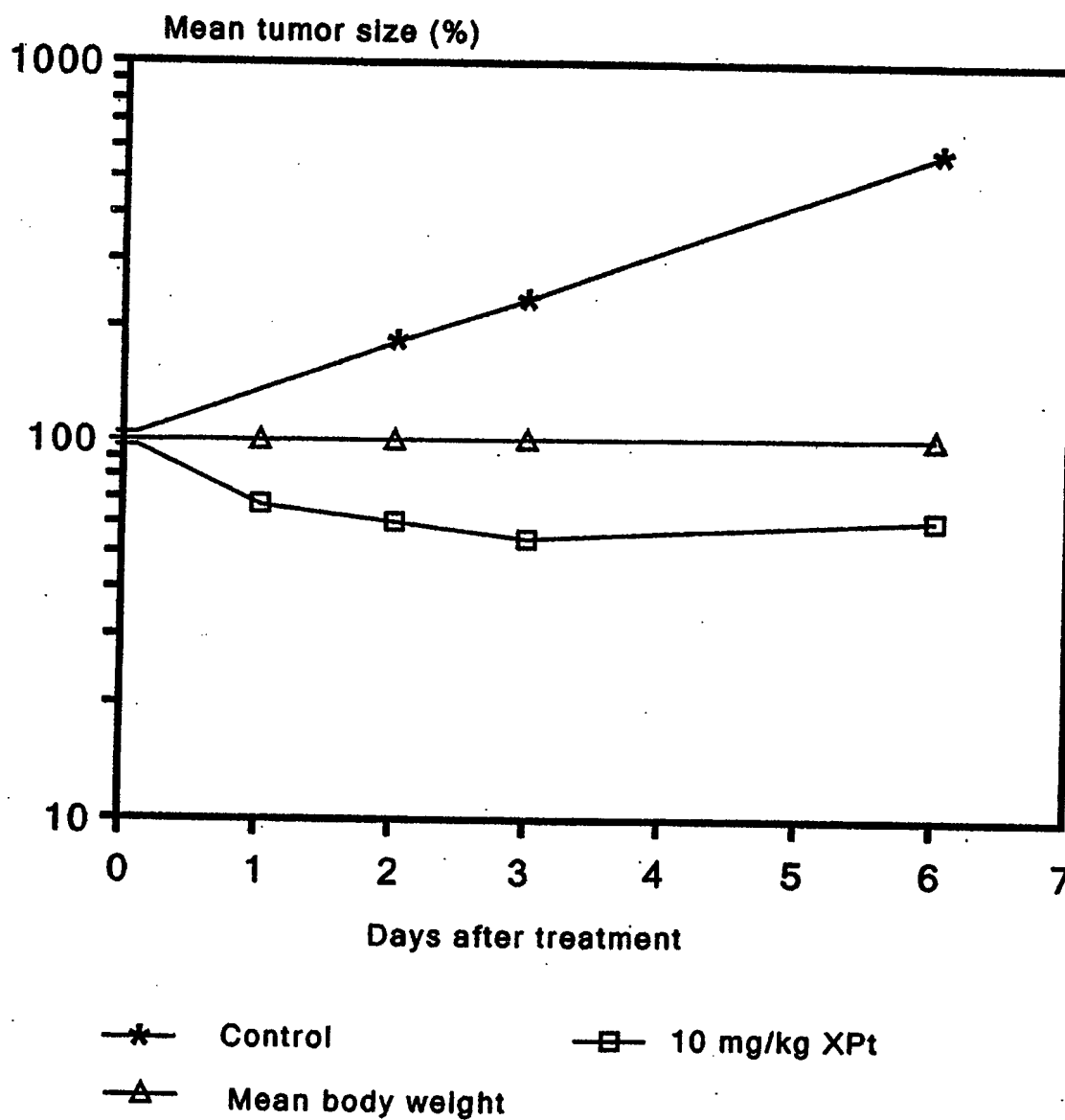
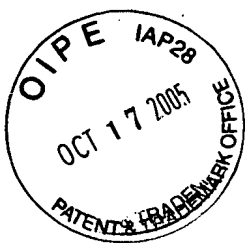


Fig. 1



2/5

SW 707

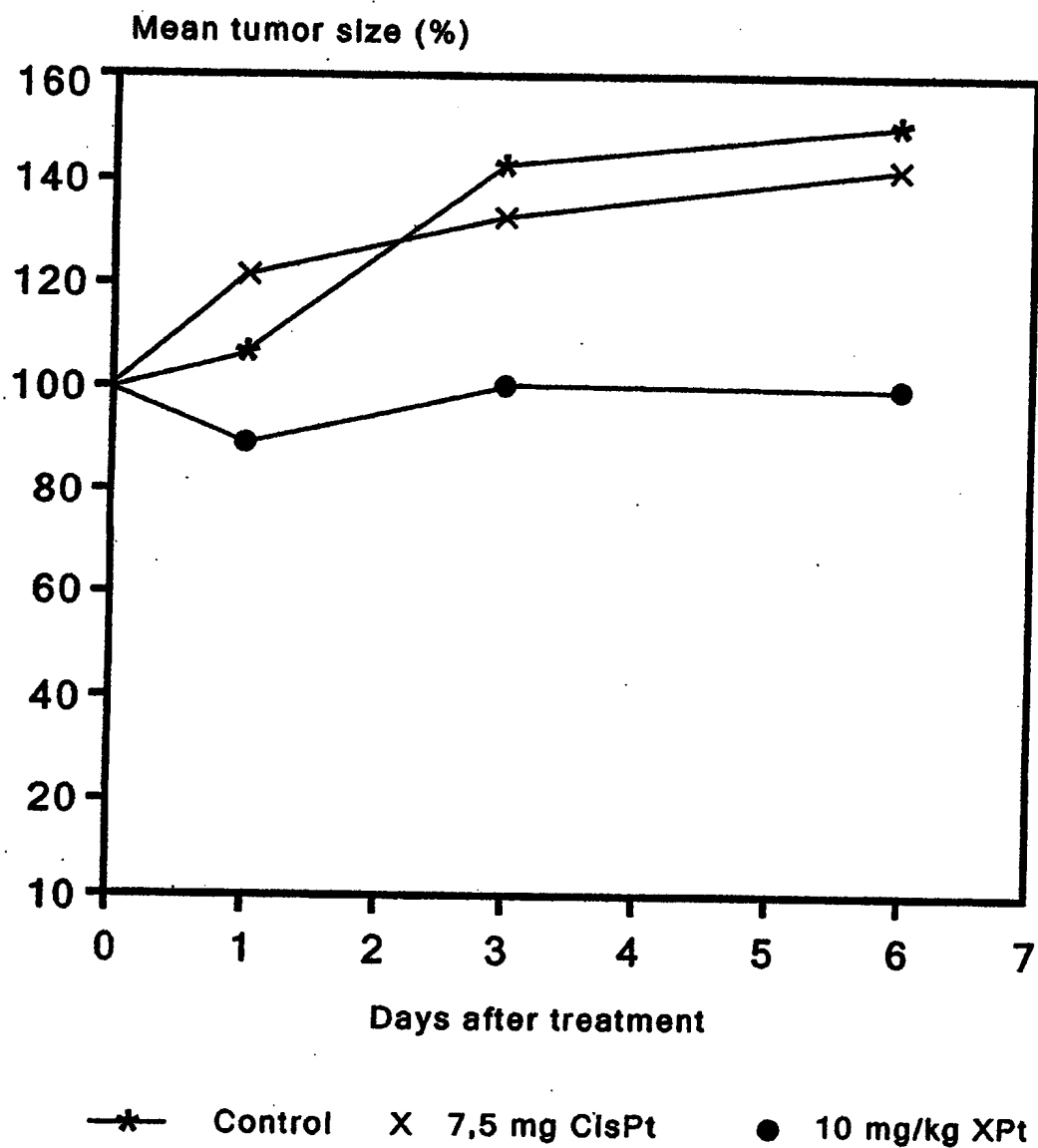


Fig. 2



3/5

XPt / CisPt

pH dependence

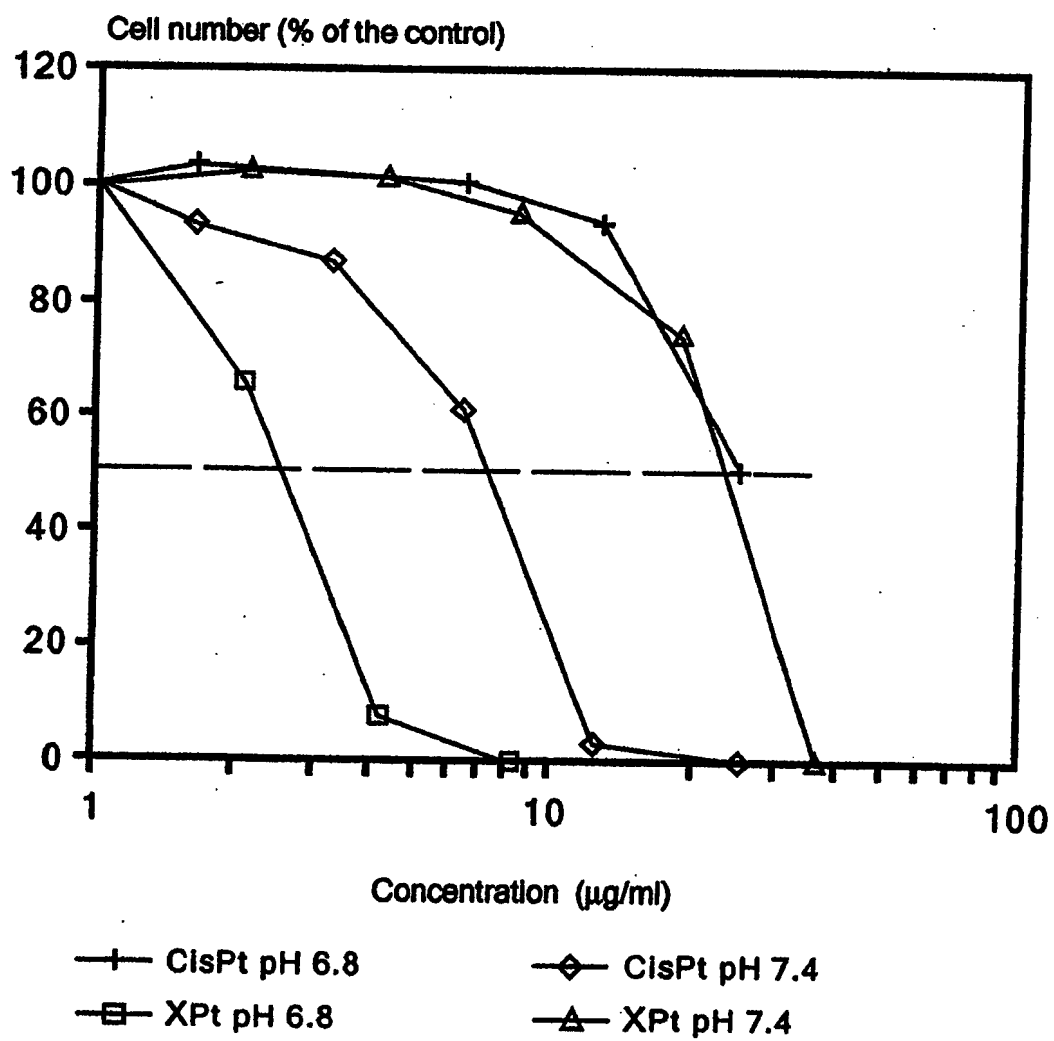
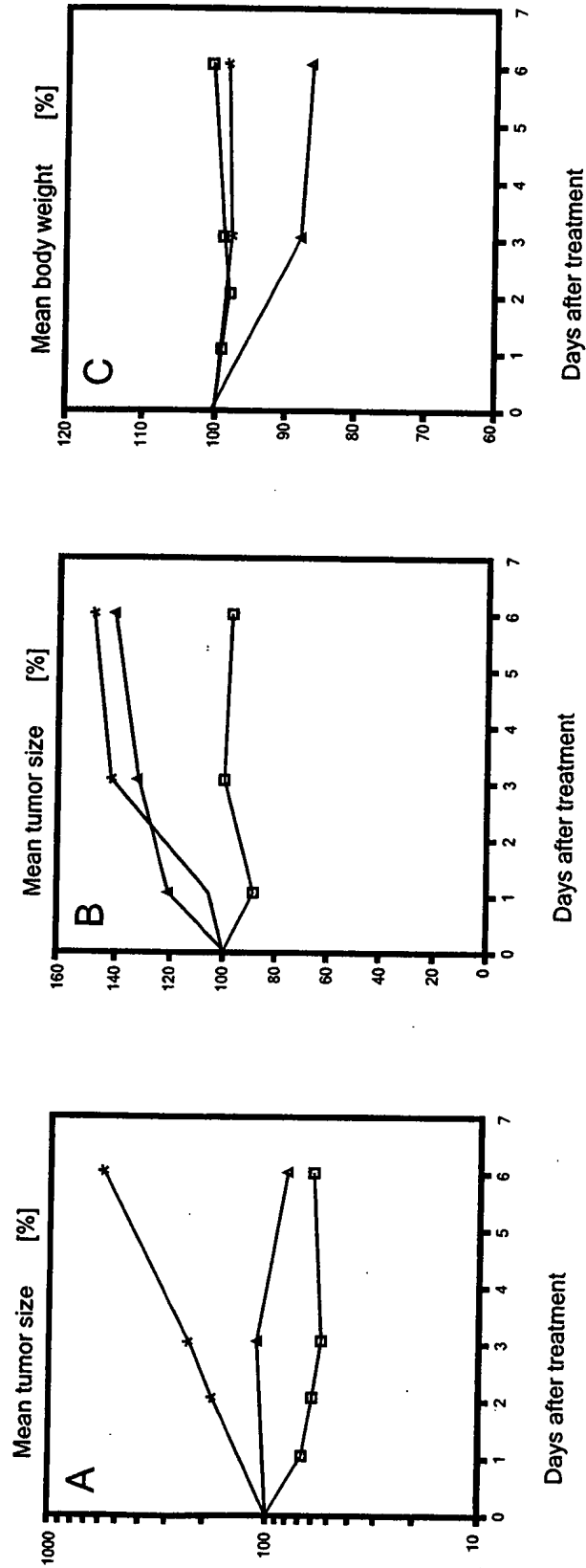


Fig. 3

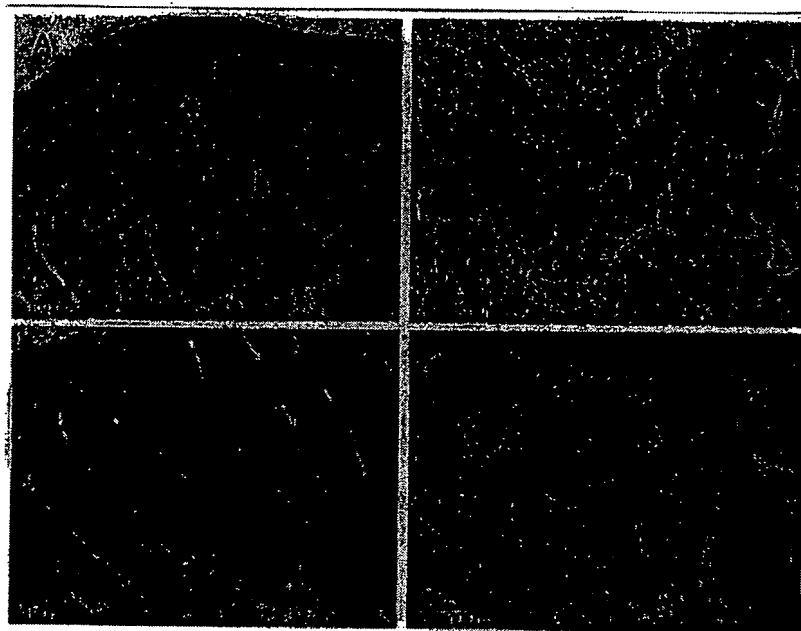
4/5

Fig. 4





5/5



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Fig. 5